

Attorney's Docket No.:	GK-GEY-1065	
U.S. Application No.:	09/530167	
International Application No.:	PCT/EP98/06626	
International Filing Date:	OCTOBER 20, 1998	20 OCTOBER 1998
Priority Date Claimed:	OCTOBER 22, 1997	22 OCTOBER 1997
Title of Invention:	OBJECT FIGURING DEVICE	
Applicant(s) for (DO/EO/US):	Claus GODER, Thomas HOLLERBACH, Juergen KUEHNERT, and Eckhard SCHROEDER	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
 [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 [] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 b) ☐ has been transmitted by the international Bureau
 c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 [X] 6. A translation of the International Application (WO 99/20429) into English [35 U.S.C. 371(c)(2)]
 [X] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 b) ☐ have been transmitted by the International Bureau
 c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 d) ☐ have not been made and will not be made
 [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
 [X] 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
 [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
 [X] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
 [X] 13. ☒ A **FIRST** preliminary amendment
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
 [] 14. A substitute specification
 [] 15. A change of power of attorney and/or address letter
 [X] 16. (other items or information) **Forms: PCT/RO/101, PCT/IB/301 14DEC98, PCT/IB/304 5FEB99, PCT/IB/308 and WO 99/20429 29APR99, PCT/IPEA/408 5JAN00 w/Reply 18JAN00, PTO-1449, PCT/ISA/220 and 210 15MAR99, 2 German Examination Report 5MAY98 and 27APR99 with thirteen (13) references.**

EXPRESS MAIL No.: EL 138 360 536 US

Deposited: April 24, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.

Ruth Montalvo

/Ruth Montalvo Date: April 24, 2000

U.S. Application No. (if known, see 37 CFR 1.190) **09/530167**
 International Application No.: PCT/EP 96/0626

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CALCULATIONS PTO USE ONLY

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

☒ Search Report has been prepared by the EPO or JPO..... \$ 840.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 670.00
☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482]
 but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 690.00
☐ Neither International preliminary examination fee [37 CFR 1.482] nor
 International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 970.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]
 and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$ 840.00

Claims

Number
filed

Number
extra

Rate

Total Claims (Prel.Amt)
Indep. Claims

21
3

-20
-03

1

x \$ 18. =
x \$ 78. =

\$ 18.00

☐ Multiple Dependent Claim(s) (if applicable)

+ \$260. =

TOTAL OF ABOVE CALCULATIONS:

\$ 858.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$ 858.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be
filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$.00

SUBTOTAL:

\$ 858.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

\$ 0.00

TOTAL NATIONAL FEE:

\$ 858.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$ 40.00

TOTAL FEE(S):

\$ 898.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDED \$
CHARGED \$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

☒ Check in the amount of **\$ 898.00** to cover the above fees is enclosed. (The Commissioner is hereby authorized to
charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald H. Kiel, Esq.

McAulay Nissen Goldberg Kiel & Hand, LLP

261 Madison Avenue

New York, NY 10016-2391

Gerald H. Kiel

Name (Tel. (212) 986-4090)

Signature

25,116

Reg. No.

April 24, 2000

Date

VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:

My name and post office address are as stated below:

That I am knowledgeable in the English language and in the language in which the below-identified international application was filed, and that I believe the English translation of the international application PCT/EP98/06626 is a true and complete translation of the above-identified international application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date March 2, 2000

Full name of the translator Daniel Cooper

Signature of the translator 

Post Office Address 1310 Felicity Street, New Orleans, LA 70130

004240 29106560

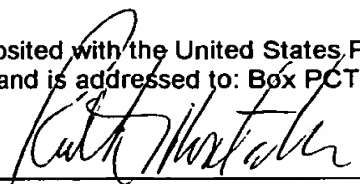
526 Rec'd PCT/PTO

2 APR 2000

EXPRESS MAIL No.: EL 138 360 546 US

Deposited: April 24, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231


/ Ruth Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: GK-GEY-1065

Applicant(s): Claus GODER, Thomas HOLLERBACH, Juergen KUEHNERT, and Eckhard SCHROEDER

Serial No.: (Nat'l Ph. of PCT/EP98/06626 20OCT98)

Filed: Concurrently herewith

For: OBJECT FIGURING DEVICE

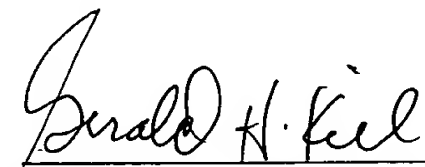
National Phase of PCT Application No. PCT/EP98/06626 filed 20 OCTOBER 1998

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- X 2. PTO-1619A and Assignment;
- X 3. Executed Declaration/POA;
- X 4. Preliminary Amendment (# 21/3) with Abstract;
- X 5. Information Disclosure Statement, Search Report (PCT/ISA/220 and 210), Two (2) German Examination Report and 13 references;
- X 6. English language text [Verification, 16 pgs of Specification, 5 pgs of Claims and Four (4) Drawing(s) Figs. 1 - 7];
- X 7. Forms: PCT/RO/101, PCT/IB/301 14DEC98, PCT/IB/304 5FEB99, PCT/IB/308 and WO 99/20429 29APR99, PCT/IPEA/408 5JAN00 w/Reply 18JAN00;
- X 8. Check in the amount of \$ 898.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,


Gerald H. Kiel - Reg. No. 25,116